

<b>COMBINED</b> <b>DECLARATION FOR UTILITY OR DESIGN</b> <b>PATENT APPLICATION (37 CFR 1.63)</b> <b>AND POWER OF ATTORNEY</b>	<table style="width: 100%; border-collapse: collapse;"> <tr> <td style="border-bottom: 1px solid black;">Attorney Docket Number</td> <td style="border-bottom: 1px solid black;">12130-19us GH/ik</td> </tr> <tr> <td style="border-bottom: 1px solid black;">First Named Inventor</td> <td style="border-bottom: 1px solid black;">CICCARELLO, Charles</td> </tr> <tr> <td colspan="2" style="text-align: center; border-bottom: 1px solid black;"><i>Complete if known</i></td> </tr> <tr> <td style="border-bottom: 1px solid black;">Application Number</td> <td style="border-bottom: 1px solid black;"></td> </tr> <tr> <td style="border-bottom: 1px solid black;">Filing Date</td> <td style="border-bottom: 1px solid black;"></td> </tr> <tr> <td style="border-bottom: 1px solid black;">Group Art Unit</td> <td style="border-bottom: 1px solid black;"></td> </tr> <tr> <td style="border-bottom: 1px solid black;">Examiner Name</td> <td style="border-bottom: 1px solid black;"></td> </tr> </table>	Attorney Docket Number	12130-19us GH/ik	First Named Inventor	CICCARELLO, Charles	<i>Complete if known</i>		Application Number		Filing Date		Group Art Unit		Examiner Name	
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First Named Inventor	CICCARELLO, Charles														
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Group Art Unit															
Examiner Name															
<input checked="" type="checkbox"/> Declaration Submitted with Initial Filing <span style="margin: 0 20px;">OR</span> <input type="checkbox"/> Declaration Submitted after Initial Filing (surcharge (37 CFR 1.16(e)) required)															

As a below named inventor, I hereby declare that:

My residence, mailing address and citizenship are as stated below next to my name.

I believe that I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

**PRECAST CONCRETE STONE WITH ANGLED SIDE WALL SECTION**

the specification of which

☒ is attached hereto.

OR

☐ was filed on \_\_\_\_\_  
(mm/dd/yyyy)

as United States Application Number or PCT International Application Number \_\_\_\_\_  
and was amended on \_\_\_\_\_ (if applicable).  
(mm/dd/yyyy)

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or (f) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent, inventor's or plant breeder's rights certificate(s), or any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not claimed	Certified Copy Attached?	
				YES	NO
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto:

I hereby claim the benefit under 35 U.S.C. 119(e) of any United States provisional application(s) listed below.

Application Number(s)	Filing Date (MM/DD/YYYY)

☐ Additional provisional application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

**COMBINED DECLARATION FOR UTILITY OR DESIGN  
PATENT APPLICATION (37 CFR 1.63) AND POWER OF ATTORNEY**

I hereby claim the benefit under 35 U.S.C. 120 of any United States application(s), or 365(c) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. 112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application.

U.S. Parent Application or PCT Parent Number	Parent Filing Date (MM/DD/YYYY)	Parent Patent Number (if applicable)

☐ Additional U.S. or PCT International application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto:

As a named inventor, I hereby appoint the following registered practitioner(s) to prosecute this application and to transact all business in the Patent Trademark Office connected therewith:

☒ Customer Number: **020988**

Direct all correspondence to:

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

**Name of sole or First Inventor:**

☐ A petition has been filed for this unsigned inventor

Given Name (first and middle [if any])

Family Name or Surname

Inventor's Signature

Charles  


Date

CICCARELLO

24 Feb. 04

Residence: City

Brossard

State

Country

Canada

Citizenship

Canadian

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Country

Canada

☒ Additional inventors are being named on the 1 supplemental Additional Inventor(s) PTO/SB/02A attached hereto.

COMBINED DECLARATION FOR UTILITY OR DESIGN  
PATENT APPLICATION (37 CFR 1.63) AND POWER OF ATTORNEY

PTO/SB/02A (10-00)

**DECLARATION**

**ADDITIONAL INVENTOR(S)**  
Supplemental Sheet  
Page 3 of 3

**Name of Additional Joint Inventor, if any:**

Given Name (first and middle [if any])

☐ A petition has been filed for this unsigned inventor

Family Name or Surname

Serge

CHRÉTIEN

Inventor's Signature

Date

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QUEBEC Country

CANADA Citizenship

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or State

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Postal Code  
Or Zip

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Country

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**Name of Additional Joint Inventor, if any:**

Given Name (first and middle [if any])

☐ A petition has been filed for this unsigned inventor

Family Name or Surname

Inventor's Signature

Date

Residence:

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Citizenship

Post Office Address

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Province  
or State

Postal Code  
Or Zip

Country

**Name of Additional Joint Inventor, if any:**

Given Name (first and middle [if any])

☐ A petition has been filed for this unsigned inventor

Family Name or Surname

Inventor's Signature

Date

Residence:

City

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Post Office Address

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or State

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Or Zip

Country

☐ Additional inventors are being named on the supplemental Additional Inventor(s) PTO/SB/02A attached hereto.

# OGILVY RENAULT

## CONFIRMATION OF SMALL ENTITY QUALIFICATION IN THE UNITED STATES

The government fees to be paid at filing, grant and post-grant maintenance are reduced by 50% for small entities. The savings at filing and grant is about US\$1000. To qualify for this reduction in fees, no rights to use the invention described in the patent application should have been licensed, assigned or otherwise granted, by you or any other co-owner or licensee, to any "large" entity having **more than 500 employees** (except for nonprofit organizations as defined below). An obligation to grant any rights, even such as a "right to first refusal", to a large entity may disqualify you as a small entity. The size of the large entity will include its affiliates, as defined in the Small Business Administration rules (13 Code of Federal Regulations (CFR) Part 121).

If you are uncertain as to your qualification as a small entity, it is best to declare large entity status, since a false declaration can invalidate the US patent. The definition of a small entity is found in the US Patent Rules, 37CFR§1.9 as follows:

### 37CFR§1.9

(c) *An independent inventor as used in this chapter means any inventor who (1) has not assigned, granted, conveyed, or licensed, and (2) is under no obligation under contract or law to assign, grant, convey, or license, any rights in the invention to any person who could not likewise be classified as an independent inventor if that person had made the invention, or to any concern which would not qualify as a small business concern or a nonprofit organization under this section.*

(d) *A small business concern as used in this chapter means any business concern meeting the size standards set forth in 13 CFR Part 121 to be eligible for reduced patent fees. Questions related to size standards for a small business concern may be directed to: Small Business Administration, Size Standards Staff, 409 Third Street, SW, Washington, DC 20416.*

(e) *A nonprofit organization as used in this chapter means (1) a university or other institution of higher education located in any country; (2) an organization of the type described in section 501(c)(3) of the Internal Revenue Code of 1954 (26 U.S.C. 501(c)(3)) and exempt from taxation under section 501(a) of the Internal Revenue Code (26 U.S.C. 501(a)); (3) any nonprofit scientific or educational organization qualified under a nonprofit organization statute of a state of this country (35 U.S.C. 201(i)); or (4) any nonprofit organization located in a foreign country which would qualify as a nonprofit organization under paragraphs (e) (2) or (3) of this section if it were located in this country.*

(f) *A small entity as used in this chapter means an independent inventor, a small business concern, or a nonprofit organization eligible for reduced patent fees.*

I have read the above and believe that, with respect to the invention related to Ogilvy Renault's file identified below, the patent owners qualify to pay reduced fees as a small entity. I authorize Ogilvy Renault to pay reduced fees as a small entity, and I will notify Ogilvy Renault of any change in this qualification.

## CONFIRMATION DU DROIT AU STATUT DE PETITE ENTITÉ POUR LES ÉTATS-UNIS

Les taxes gouvernementales à payer lors du dépôt, de la délivrance et pour le maintien après délivrance sont réduites de 50% pour les petites entités. L'économie lors du dépôt et de la délivrance est d'environ 1000\$ US. Pour être éligible à cette réduction de la taxe, des droits d'utilisation de l'invention ne doivent pas être sous licence, cédés ou autrement attribués, par vous ou par tout autre co-proprétaire ou licencié, à une « grande » entité **ayant plus de 500 employés** (à l'exception des organismes à but non lucratif tel que défini plus bas). Une obligation de céder tout droit, même un « droit de premier refus », à une grande entité peut vous disqualifier en tant que petite entité. Pour calculer la grosseur d'une grande entité, on inclut les filiales, tel que défini dans les règles du « Small Business Administration » (13 Code of Federal Regulations (CFR) Part 121).

Si vous êtes incertain de vous qualifier comme petite entité, il est préférable de déclarer un statut de grande entité, puisqu'une fausse déclaration pourrait invalider le brevet américain. La définition d'une petite entité est la suivante et peut être retrouvée dans les règles américaines sur les brevets. (37 CFR§1.9)

### 13CFR§121.802

A concern eligible for reduced patent fees is one: (a) Whose number of employees, including affiliates, does not exceed 500 persons; and (b) Which has not assigned, granted, conveyed, or licensed (and is under no obligation to do so) any rights in the invention to any person who made it and could not be classified as an independent inventor, or to any concern which would not qualify as a non-profit organization or a small business concern under this section.

### 13CFR§121.1001(b)(5)

For eligibility to pay reduced patent fees, the following entities may request a formal size determination:

- (i) The applicant for the reduced patent fees; and
- (ii) The Patent and Trademark Office.

J'ai lu la présente et crois que, en relation avec l'invention dont le numéro de dossier Ogilvy Renault est indiqué plus bas, les propriétaires se qualifient pour payer la taxe réduite en tant que petite entité. J'autorise Ogilvy Renault à payer la taxe réduite pour une petite entité et nous informerons Ogilvy Renault de tout changement au niveau de ce statut.

OR File No.:	Title :	PRECAST CONCRETE STONE WITH ANGLED SIDE WALL
No de dossier OR : <u>12130-19us GH/ik</u>	Titre :	SECTION
Name of Patent Owner:		
Nom du propriétaire: <u>Techo-Bloc Inc.</u>		
Name and title of signing officer (if applicable):		
Nom et titre de l'officier autorisé (s'il y a lieu) : <u>Charles Giccarelli U-P</u>		
Signature and date :		
Signature et date: <u>Charles Giccarelli 24 Feb. 2004</u>		